

OSCILLATION AND NON OSCILLATION
FOR THE SOLUTIONS OF CERTAIN TYPE OF
GENERALIZED NEUTRAL α -DIFFERENCE EQUATION

M. Maria Susai Manuel¹ §, K. Srinivasan²

V. Chandrasekar³, G. Dominic Babu⁴

¹Department of Science and Humanities

R.M.D. Engineering College

Kavaraipettai, 601 206, Tamil Nadu, S. INDIA

²Department of Mathematics

S.K.P. Institute of Technology

Tiruvannamalai, 606 611, S. INDIA

³Department of Science and Humanities

S.K.P. Engineering College

Tiruvannamalai, Tamil Nadu, S. INDIA

⁴Department of Mathematics

Sacred Heart College

Tirupattur, 635 601, Vellore District, Tamil Nadu, S. INDIA

Abstract: In this paper, the authors discuss the oscillation and non oscillation for generalized neutral α -difference equation

$$\Delta_{\alpha(\ell)}(u(k) + pu(k - \tau\ell)) + q(k)u(k - \sigma\ell) = 0, \quad k \in [0, \infty), \quad (1)$$

where p is a constant, $q(k)$ is defined on $[0, \infty)$, τ is a positive integer and σ is a non-negative integer.

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1. Introduction

The theory of difference equations is based on the operator Δ defined as

$$\Delta u(k) = u(k+1) - u(k), \quad k \in \mathbb{N} = \{0, 1, 2, \dots\}. \quad (2)$$

Even though many authors ([1],[9]) have suggested the definition of Δ as

$$\Delta u(k) = u(k+\ell) - u(k), \quad k \in [0, \infty), \ell \in (0, \infty), \quad (3)$$

no significant progress took place on this line. But recently M. Maria Susai Manuel, G.B.A. Xavier and E. Thandapani [3], took up the definition of Δ as given in (3), and developed the theory of difference equations in a different direction and many interesting results were obtained in number theory. For convenience, the authors labeled the operator Δ defined by (3) as Δ_ℓ and its inverse by Δ_ℓ^{-1} . When Δ_ℓ is operated on a complex function $u(k)$ and considering ℓ to be real, some new qualitative properties like rotatory, expanding, shrinking, spiral and weblike were noticed. The results obtained can be found in [3]-[7].

Jerzy Popenda [2], while discussing the behavior of solutions of a particular type of difference equation, defined Δ_α as $\Delta_\alpha u(k) = u(k+1) - \alpha u(k)$. This definition of Δ_α is being ignored for a long time. Recently, M. Maria Susai Manuel, V. Chandrasekar and G. Britto Antony Xavier [8] have generalized the definition of Δ_α by $\Delta_{\alpha(\ell)}$ defined as $\Delta_{\alpha(\ell)} u(k) = u(k+\ell) - \alpha u(k)$ for the real valued function $u(k)$ and $\ell \in (0, \infty)$ and also obtained the solutions of certain types of generalized α -difference equations, in particular, the generalized Clairaut's α -difference equation, generalized Euler α -difference equation and the generalized α -Bernoulli polynomial $B_{\alpha(n)}(k, \ell)$, which is a solution of the α -difference equation $u(k+\ell) - \alpha u(k) = nk^{n-1}$, for $n \in \mathbb{N}(1)$. In this paper, we present solutions of certain type of generalized neutral α -difference equations and discuss the oscillatory and non oscillatory behavior of generalized neutral α -difference equation (1).

Throughout this paper, we make use of the following assumptions:

$$\mu = \max\{\tau, \sigma\}.$$

Then by a solution of (1) we mean a function $u(k)$ which is defined for $k \geq -\mu$ and satisfies the equation (1) for $k \in [0, \infty)$. Clearly if

$$u(k) = A_k, \quad k \in [-\mu, 0] \quad (4)$$

are given, then (1) has a unique solution, and it can be constructed recursively. Also, assume that function $q(k)$ is not identically zero.

2. Preliminaries

In this section, we present the definition of the generalized α -difference equation of the n^{th} kind, from which the equation (1) becomes the generalized linear α -difference equation of the third kind by properly selecting the values of ℓ_i for $i = 1, 2, 3$.

Definition 2.1. Let $L = \{\ell_1, \ell_2, \dots, \ell_n\}$ be a set of n positive real numbers, $r(L)$ be the set of all subsets of size r from the set L and $\alpha > 0$ be fixed. Then, for $k \in [0, \infty)$, we define the generalized n^{th} kind α -difference equation as

$$F \left(\left(k, (P_A(k, \alpha), u(k + \sum_{\ell_i \in A} a_i^A \ell_i))_{A \in r(L)} \right)_{r=0}^n \right) = 0, \tag{5}$$

and the generalized n^{th} kind linear α -difference equation as

$$\sum_{r=0}^n \sum_{A \in r(L)} P_A(k, \alpha) u \left(k + \sum_{\ell_i \in A} a_i^A \ell_i \right) = f(k), \tag{6}$$

where $P_A(k, \alpha)$, $f(k)$ and F are real valued functions and a_i^A 's are constants.

Remark 2.2. i) When $\ell_i = \ell$, for $i = 1, 2, \dots, n$, the equation (5) (the equation (6)) becomes the generalized n^{th} order (linear) α -difference equation.

ii) When $\ell_i = 1$, for $i = 1, 2, \dots, n$ and $k \in \mathbb{N}(a)$, a is an integer, the equation (5) (the equation (6)) becomes the n^{th} order (linear) α -difference equation.

iii) When $\ell_i = 1$, for $i = 1, 2, \dots, n$, $\alpha = 1$ and $k \in \mathbb{N}(a)$, a is an integer, the equation (5) (the equation (6)) becomes the n^{th} order (linear) difference equation.

iv) Equation (5) (the equation (6)) becomes the Delay or Neutral type difference equation by taking $\ell_i = 1$, for $i = 1, 2, \dots, n$, $\alpha = 1$, $k \in \mathbb{N}(a)$, a is an integer, negative values for certain a_i 's.

The following example illustrates Equation (6).

Example 2.3. Equation (1) can be expressed as

$$-\alpha u(k) + u(k + \ell) - p\alpha u(k - \tau\ell) + q(k)u(k - \sigma\ell) + pu(k + \ell - \tau\ell) = 0.$$

By taking $\ell_1 = \ell$, $\ell_2 = \tau\ell$ and $\ell_3 = \sigma\ell$ we get $L = \{\ell, \tau\ell, \sigma\ell\}$,

$$0(L) = \{\phi\}, \quad 1(L) = \{\{\ell\}, \{\tau\ell\}, \{\sigma\ell\}\}, \\ 2(L) = \{\{\ell, \tau\ell\}, \{\ell, \sigma\ell\}, \{\tau\ell, \sigma\ell\}\} \quad \text{and} \quad 3(L) = \{\{\ell, \tau\ell, \sigma\ell\}\}.$$

Now, if we take $P_{\{\phi\}}(k, \alpha) = -\alpha$, $P_{\{\ell\}}(k, \alpha) = 1$, $P_{\{\tau\ell\}}(k, \alpha) = -p\alpha$, $P_{\{\sigma\ell\}} = q(k)$, $P_{\{\ell, \tau\ell\}}(k, \alpha) = p$, $P_{\{\ell, \sigma\ell\}}(k, \alpha) = P_{\{\tau\ell, \sigma\ell\}}(k, \alpha) = 0$, $P_{\{\ell, \tau\ell, \sigma\ell\}}(k, \alpha) = 0$, $a_1^{\{\ell\}} = 1$, $a_2^{\{\tau\ell\}} = -1$, $a_3^{\{\sigma\ell\}} = -1$, $a_1^{\{\ell, \tau\ell\}} = -1$, $a_2^{\{\ell, \tau\ell\}} = 1$ and all other a_i^A 's are zero in (6) then, equation (1) is a generalized third kind linear α -difference equation.

Definition 2.4. A nontrivial solution $u(k)$ of (1) is said to be oscillatory, if for every $k > 0 \in [0, \infty)$ there exists a $k \geq K$ such that $u(k)u(k + \ell) \leq 0$. The equation (1) itself is called oscillatory if all its solutions are oscillatory. Otherwise, it is called nonoscillatory.

3. Main Results

Lemma 3.1. Let $\ell, \alpha > 0$ and $\alpha \neq 1$. If $v(k)$ is a solution of the generalized first order linear α -difference equation

$$-\alpha v(k) + v(k + \ell) = u(k), \quad (7)$$

$$\text{then } w(k) = v(k) - \alpha^{\lfloor \frac{k}{\ell} \rfloor} c_j, \quad (8)$$

where c_j is a constant for all $k \in \mathbb{N}_\ell(j)$ is also a solution of (7).

Proof. Since (8) satisfies (7), the proof is obvious. \square

Theorem 3.2. Let $u(k)$ be defined for all $k \in [0, \infty)$. Then, for $k \in [\ell, \infty)$, $v(k) = \sum_{r=1}^{\lfloor \frac{k}{\ell} \rfloor} \alpha^{r-1} u(k - r\ell)$ is a solution of the generalized linear nonhomogeneous α -difference equation

$$-\alpha v(k) + v(k + \ell) = u(k). \quad (9)$$

Proof. Replacing k by $k - \ell$ and $k - 2\ell$ in (9), we find

$$v(k) = \alpha v(k - \ell) + u(k - \ell), \quad (10)$$

$$\text{and } v(k - \ell) = \alpha v(k - 2\ell) + u(k - 2\ell), \tag{11}$$

which yield $v(k) = u(k - \ell) + \alpha u(k - 2\ell) + \alpha^2 v(k - 2\ell)$.

The proof follows by repeating this process again and again. □

Theorem 3.3. *Let $\alpha \neq c^\ell$, $k \in [\ell, \infty)$ and $j = k - \lceil \frac{k}{\ell} \rceil \ell$. Then*

$$w(k) = \frac{kc^k}{(c^\ell - \alpha)} - \frac{\ell c^{k+\ell}}{(c^\ell - \alpha)^2} - \alpha^{\lceil \frac{k}{\ell} \rceil} c_j, \tag{12}$$

where c_j is a constant for all $k \in \mathbb{N}_\ell(j)$ is a solution of the generalized first order linear α -difference equation

$$-\alpha v(k) + v(k + \ell) = kc^k. \tag{13}$$

Proof. The proof follows by taking $u(k) = kc^k$ in (7) and

$$v(k) = \frac{kc^k}{(c^\ell - \alpha)} - \frac{\ell c^{k+\ell}}{(c^\ell - \alpha)^2}$$

in (8). □

Lemma 3.4. *Let $q(k) \geq 0$ for all $k \in [0, \infty)$ and let $u(k)$ be an eventually positive solution of (1). Set $z(k) = u(k) + pu(k - \tau\ell)$.*

- (a) *If $p = -1$, then $z(k) > 0$ and $\Delta_{\alpha(\ell)} z(k) \leq 0$ eventually.*
- (b) *If $-1 < p < 0$, then $z(k) > 0$ and $\Delta_{\alpha(\ell)} z(k) < 0$ eventually.*
- (c) *If $p < -1$ and $\sum_{k=1}^{\infty} p(k\ell + j) = \infty$, then $z(k) < 0$ and $\Delta_{\alpha(\ell)} z(k) \leq 0$ eventually.*

Proof. Since $q(k) \neq 0$, from the equation (1), we have

$$\Delta_{\alpha(\ell)} z(k) = -q(k)u(k - \sigma\ell) \leq 0,$$

eventually, so $z(k)$ cannot be eventually identically zero. Thus, it follows that $z(k)$ is eventually positive or eventually negative.

If $z(k) < 0$ eventually, then $z(k) \leq z(K) < 0$ for $k \geq K \in [0, \infty)$. Hence

$$u(K + \tau k) \leq z(K) + u(K + (k - \ell)\tau) \leq \dots \leq kz(K) + u(K).$$

On letting $k \rightarrow \infty$ in the above inequality, we find $u(K + \tau k)$ to be negative, which is a contradiction to $u(k) > 0$. This proves (a).

The proof of (b) is similar to that of (a).

To prove (c), again from (1), we have $\Delta_{\alpha(\ell)}z(k) = -q(k)u(k - \sigma\ell) \leq 0$, for all large k . We shall prove that $z(k) < 0$, eventually. If not, then $z(k) = u(k) + pu(k - \tau\ell) \geq 0, \quad k \geq K,$

$$\text{i.e. } u(k) \geq -pu(k - \tau\ell), \quad k \geq K$$

which implies that

$$0 < u(K - \tau\ell) \leq \left(\frac{-1}{p}\right) u(K) \leq \dots \leq \left(\frac{-1}{p}\right)^r u(K + (r - 1)\tau\ell),$$

$r = 1, 2, \dots$ On letting $r \rightarrow \infty$ in the above inequality, we get $u(k) \rightarrow \infty$ as $k \rightarrow \infty$. But, then since $\Delta_{\alpha(\ell)}z(k) = -q(k)u(k - \tau\ell) \leq -Lq(k)$ for large k , where L is a positive number. On summing the last inequality, we obtain

$$z(k + \ell) - \alpha z(k) \leq -L \sum_{r=1}^{\lfloor \frac{k}{\tau} \rfloor} \alpha^{r-1} q(k - r\ell),$$

which implies that $z(k) \rightarrow -\infty$ as $k \rightarrow \infty$. This contradicts the fact that $z(k) \geq 0$ for $k \geq K$. □

Now we shall establish oscillation criteria for the difference equation (1). The results obtained depend on the values of the parameter p .

Theorem 3.5. *Assume that $p = -1, q(k) \geq 0$ for $k \in \mathbb{N}(1)$, and for a positive integer K ,*

$$\sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(K + r\ell) = \infty. \tag{14}$$

Then the equation (1) is oscillatory.

Proof. Assume the contrary. Without loss of generality let $u(k)$ be an eventually positive solution of (1). By Lemma (3.4), $z(k) = u(k) + pu(k - \tau\ell) > 0$ and $\Delta_{\alpha(\ell)}z(k) \leq 0$, eventually. This implies that $\lim_{k \rightarrow \infty} z(k) = \gamma \geq 0$ exists.

On summing (1) from K to k , we get

$$z(k + \ell) - \alpha z(k) + (1 - \alpha) \sum_{r=0}^{n-1} z(K + r\ell) + \sum_{r=0}^{n-1} \left(\frac{1}{\alpha}\right)^r q(r)u(K + r\ell - \sigma) = 0.$$

On letting $k \rightarrow \infty$ in the above equation, we obtain

$$z(K) \geq \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(r)u(K + r\ell - \sigma). \tag{15}$$

Now setting $\min_{K < r < K + \tau\ell} u(K + r\ell - \tau\ell) = s > 0$, we find from $z(k) = u(k) - u(k - \tau\ell) > 0$ for $k \geq K$ that $u(k) \geq s$ for $k \geq K$. Thus, from (15), we have

$$\begin{aligned} \infty > z(K + r\ell + \sigma\ell) &\geq \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell + \sigma\ell)u(k + r\ell - \sigma\ell) \\ &\geq \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell + \sigma\ell) \end{aligned}$$

which contradicts condition (14). □

Example 3.6. Consider the difference equation

$$\Delta_{\alpha(\ell)}(u(k) - u(k - \tau\ell)) + 2(1 + \alpha)u(k - \sigma\ell) = 0, \quad k \in [0, \infty), \tag{16}$$

where τ and σ are odd and even positive integers respectively. Equation (16) satisfies the assumptions of Theorem 3.5, and therefore the equation (16) is oscillatory. In fact, $(-\alpha)^{\lfloor \frac{k}{\tau} \rfloor + 1}$ is an oscillatory solution of (16).

Theorem 3.7. *The conclusion of Theorem 3.5 holds even if (14) is replaced by*

$$\sum_{s=0}^{\infty} \left(\frac{1}{\alpha}\right)^s (K + s\ell)q(K + s\ell) \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell) = \infty. \tag{17}$$

Proof. Since (14) implies that the equation (1) is oscillatory, it suffices to show that all conditions of (1) oscillate in the case that

$$\sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(K + r\ell) < \infty. \tag{18}$$

Assume for the sake of contradiction, that (1) has an eventually positive solution $u(k)$. Then, by Lemma (3.4)(a), $z(k) = u(k) - u(k - \tau\ell) > 0$ and $\Delta_{\alpha(\ell)}z(k) \leq 0$ eventually. Thus, eventually $u(k) > u(k - \tau\ell)$, which implies that there exists a constant $L > 0$ and $K \in [0, \infty)$ sufficiently large such that

$u(k - \ell\mu) \geq L$, $k \geq K$. Thus, from $\Delta_{\alpha(\ell)}z(k) = -q(k)u(k - \sigma\ell)$ it follows that $\Delta_{\alpha(\ell)}z(k) \leq -Lq(k)$, $k \geq K$ and hence $z(k) \geq L \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell)$, $k \geq K$, which is the same as

$$u(k) \geq \alpha u(k - \tau\ell) + L \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell), \quad k \geq K. \quad (19)$$

Now let $I(k)$ denote the integer part of $\frac{k-K}{\tau}$, then we have

$$\begin{aligned} u(k) \geq L & \left(\sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell) + \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell - \tau\ell) + \dots \right. \\ & \left. + \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell - (I(k) - 1)\tau\ell) \right) + u(k - I(k)\tau\ell), \end{aligned}$$

which together with $\Delta_{\alpha(\ell)}z(k) = -q(k)u(k - \sigma\ell)$ yields

$$\Delta_{\alpha(\ell)}z(k) \leq H(k), \quad (20)$$

$$\text{where } H(k) = I(k)Lq(k) \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell).$$

By noting the fact that $I(k)/k \rightarrow 1/\tau\ell$ as $k \rightarrow \infty$, we have

$$H(k) \left(kq(k) \sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r q(k + r\ell) \right)^{-1} = \frac{I(k)L}{k} \rightarrow \frac{L}{\tau\ell} \text{ as } k \rightarrow \infty. \quad (21)$$

Thus (17) and (21) imply that $\sum_{r=0}^{\infty} \left(\frac{1}{\alpha}\right)^r H(K + r\ell) = \infty$, which together with (20) leads to $z(k) \rightarrow -\infty$ as $k \rightarrow \infty$. This contradicts the hypothesis that $z(k)$ is eventually positive. \square

Example 3.8. For the generalized neutral α -difference equation

$$\Delta_{\alpha(\ell)}(u(k) - \alpha u(k - \tau\ell)) + k^{-\eta\ell}u(k - \sigma\ell) = 0, \quad \eta \in (1, 3/2] \quad (22)$$

condition (17) is satisfied. Therefore, by Theorem 3.7 the equation (22) is oscillatory. However, the condition (14) does not satisfy.

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